

## CURRENT ACCOUNT OPENING FORM FOR NON-INDIVIDUALS (OTHER THAN SOLE PROPRIETORSHIP FIRM)

[illegible]

A. Fields marked with "\*" are mandatory fields.  
B. Tick '✓' wherever applicable.  
C. Please fill the date in DD-MM-YYYY format.  
D. Please fill the Form in English and in BLOCK Letters.  
E. Please read section wise detailed guidelines/ Instructions  
F. List of two character ISO 3166 country codes and List of State/UT Code as per Indian Motor Vehicle Act,1988 is available in the General Instructions.  
G. General Instructions are available at the Banks website : [bank.sbi>Business>Current Account](http://bank.sbi>Business>Current Account)  
H. For particular section update, please tick ( ) in the box available before the section number and strike for the sections not required to be updated.

**PLEASE REFER ANNEXURE 'V' FIRST TO CHECK YOUR ELIGIBILITY TO OPEN CURRENT ACCOUNT**

## 1. ENTITY DETAILS\* (Please refer General Guidelines Point 'C')

NAME OF THE ENTITY*: (IN BLOCK LETTERS)																													
DATE OF COMMENCEMENT OF BUSINESS*:						DATE OF INCORPORATION/ FORMATION*:						PLACE OF INCORPORATION/ FORMATION*:																	
CIN / LLPIN :																													
PAN*:											OR FORM 60	<input type="checkbox"/>	(FOR ENTITIES OTHER THAN COMPANIES AND PARTNERSHIPS)	GSTN:															
(FOR ENTITIES TAX RESIDENT OF INDIA ONLY, PAN IS EQUIVALENT TO TIN)																													
COUNTRY OF INCORPORATION/ FORMATION* (CODE- ISO 3166) :			(REFER GENERAL INSTRUCTIONS)	ENTITY CONSTITUTION TYPE*:	<input type="checkbox"/>	(PLEASE REFER INSTRUCTION B IN GENERAL INSTRUCTIONS)																							
IDENTIFICATION TYPE*:	<input type="checkbox"/>	(PLEASE REFER GENERAL INSTRUCTIONS 'C2', IF O-OTHERS (SPECIFY) _____)																											

## 2. PROOF OF IDENTITY (PoI)\* (Please refer 'D' in General Instructions)

☐ CERTIFICATE OF INCORPORATION / FORMATION    ☐ REGISTRATION CERTIFICATE    ☐ PARTNERSHIP DEED    ☐ TRUST DEED    ☐ MEMORANDUM AND ARTICLE OF ASSOCIATION  
☐ OFFICIALLY VALID DOCUMENT(S) IN RESPECT OF PERSON AUTHORIZED TO TRANSACT    ☐ RESOLUTION OF BOARD / MANAGING COMMITTEE    ☐ POWER OF ATTORNEY GRANTED TO ITS MANAGER, OFFICERS EMPLOYEES TO TRANSACT ON ITS BEHALF  
☐ OTHER \_\_\_\_\_

### 3. DETAILS OF RELATED PERSON/ BENEFICIAL OWNER\*

(An 'Annexure II' to be filled for each related person please refer point 'G' in General Instructions)

NUMBER OF RELATED PERSONS*:	<input type="text"/>	(A RELATED PERSON CAN BE DIRECTOR, PROMOTER, KARTA, TRUSTEE, PARTNER, AUTHORISED SIGNATORY, BENEFICIARY, BENEFICIAL OWNER, COURT APPOINTED OFFICIAL)
NUMBER OF BENEFICIAL OWNERS*:	<input type="text"/>	(THOUGH A BENEFICIAL OWNER IS A RELATED PERSON, THE NUMBER OF BENEFICIAL OWNER SHOULD BE DETERMINED SEPARATELY OUT OF NUMBER OF RELATED PERSON . BENEFICIAL OWNER IS A PART / SUBSET OF RELATED PERSON ) (FOR DEFINITION SEE PAGE NO. 18)

4. **PROOF OF ADDRESS (PoA)\*** (Copies of the document, as applicable, need to be submitted) (Please refer General Instruction 'E')

#### 4.1 BUSINESS / OFFICE REGISTERED ADDRESS DETAILS\*

PROOF OF ADDRESS\*: ☐ REGISTRATION CERTIFICATE ☐ OTHER \_\_\_\_\_

LINE 1\*:

LINE 2\*:

LINE 3\*:  CITY/ TOWN/VILLAGE\*:

DISTRICT\*:  PIN/POST CODE\*:

STATE/UT NAME\*

STATE/UT NAME CODE\*:  COUNTRY CODE\*:

#### 4.2 CORRESPONDENCE / LOCAL ADDRESS DETAILS \*

[illegible]

4.3 ADDRESS IN THE JURISDICTION WHERE ENTITY IS RESIDENT OUTSIDE INDIA FOR TAX PURPOSES\*

☐ SAME AS BUSINESS ADDRESS DETAILS

☐ SAME AS CORRESPONDENCE / LOCAL ADDRESS DETAILS

PROOF OF ADDRESS\*:

☐ REGISTRATION CERTIFICATE

☐ OTHER

PROOF OF ADDRESS (FOR ENTITIES REGISTERED OUTSIDE INDIA)\*: ☐ REGISTRATION CERTIFICATE OR EQUIVALENT ☐ CERTIFICATE OF INCORPORATION/FORMATION

LINE 1\*:

LINE 2:

LINE 3:

DISTRICT\*:

CITY / TOWN / VILLAGE\*:

PIN / POST CODE\*:

STATE/UT NAME\*

STATE/UT NAME CODE\*:

COUNTRY CODE\*:

5. CONTACT DETAILS (All communications will be sent on provided Mobile no./ Email- ID) (Please refer General Instruction 'F')

TELE (OFF) :

MOBILE NO. OF AUTHORISED SIGNATORY:

EMAIL ID 1:

EMAIL ID 2:

6. NATURE OF BUSINESS

☐ MANUFACTURER

☐ TRADER

☐ RETAILER

☐ SERVICE PROVIDER

☐ EXPORT / IMPORT

☐ OTHERS

INDUSTRY CODE\*:  (PLEASE REFER TO INDUSTRY CODES GIVEN AT THE BOTTOM) OTHERS: (PLEASE SPECIFY FOR CODE 50, 51, 52,74, 93,99)

ANNUAL TURNOVER

☐ 0-5 LAKH

☐ 5-10 LAKH

☐ 10-50 LAKH

☐ 50 LAKH- 1CR.

☐ 1 CR. - 10 CR.

☐ 10 CR. - 50 CR.

☐ 50 CR. - 100 CR.

☐ > 100 CR.

MLM UNDERTAKING: (Please tick (3) the correct one)

☐ We Declare that our Company/ Firm is not a MLM (Multi Level Marketing) Company / Firm

☐ We Declare that our Company/ Firm is a MLM (Multi Level Marketing) Company / Firm (Select Industry code 98, if MLM Firm) and the Company / Firm is doing business of Multi-Level Marketing and has given an undertaking to the Department of Consumer Affairs that the Company / Firm is in compliance with Direct Selling Guidelines, 2016 issued by the Government of India, Ministry of Consumer Affairs, Food & Public Distributions as also any direct selling guidelines issued by the State Government, where the registered office of the Firm is located. Further, the Company / Firm is not in violation and undertake not to violate the provisions of Prize Chit and Money Circulation (Banning) Act, 1978.

7. MODE OF OPERATION

☐ SINGLY

☐ JOINTLY

☐ SEVERALLY

☐ AS PER BOARD RESOLUTION

☐ OTHERS : ( PLEASE SPECIFY)

8. SERVICES REQUIRED

CORPORATE INTERNET BANKING: 

VIEWING RIGHTS ☐

TRANSACTION RIGHTS ☐

CASH MGMT PRODUCTS ☐

viz CASH PICK UP ☐ e-COLLECTION ☐ e-PAYMENT ☐

BUSINESS DEBIT CARD : 

PRIDE ☐

PREMIUM ☐

POS FACILITY (CARD SWIPING MACHINE) ☐

CHEQUE BOOK ☐

UPI / QR CODE ☐

SMS ALERTS ☐

E-HAND SHAKE INSTA DEPOSIT CARD ☐

DO YOU WISH TO REGISTER FOR POSITIVE PAY SYSTEM: YES ☐ NO ☐

9. ACCOUNT VARIANT (Please refer to Bank's latest instructions on Monthly Average Balance (MAB) and other product Current Account Variant information)

☐ REGULAR CURRENT ACCOUNT (MAB Rs. 5,000)

☐ GOLD CURRENT ACCOUNT (MAB Rs. 1,00,000)

☐ DIAMOND CURRENT ACCOUNT (MAB Rs. 5,00,000)

☐ PLATINUM CURRENT ACCOUNT (MAB Rs. 10,00,000)

☐ SURABHI CURRENT ACCOUNT (MAB Rs. 10,000)

☐ POWER JYOTI CURRENT ACCOUNT (MAB Rs. 50,000)

☐ POWER JYOTI PUL CURRENT ACCOUNT (MAB Rs. 50,000)

☐ CFDL CURRENT ACCOUNT (Centralized Fund cum Distribution Limit)

☐ OTHERS (PLEASE SPECIFY):

10. FORM - 60 (IN CASE PAN IS NOT AVAILABLE) (FOR ENTITIES OTHER THAN COMPANIES AND PARTNERSHIP FIRMS)

NAME:

IF APPLIED FOR PAN AND IT IS NOT YET GENERATED, ENTER DATE OF APPLICATION  & THE ACKNOWLEDGEMENT NUMBER

IF PAN IS NOT APPLIED , FILL ESTIMATED TOTAL INCOME (INCLUDING INCOME OF SPOUSE, MINOR CHILD, ETC) AS PER SECTION 64 OF INCOME TAX ACT 1961 FOR FINANCIAL YEAR IN WHICH THE ABOVE TRANSACTION IS HELD

AGRICULTURE INCOME (RS)  OTHER THAN AGRICULTURAL INCOME

VERIFICATION

I ..... do hereby declare that what is stated above is true to the best of my knowledge and belief. I further declare I do not have a permanent account number and my/our estimated total income (including income of spouse, minor child, etc.) as per section 64 of Income Tax Act 1961 computed in accordance with the provisions of Income Tax Act 1961 for the financial year in which the above transaction is held will be less than maximum amount not chargeable to tax.

Verified today, the ..... day of ..... 20.....

Place: .....

Signature of the Declarant

INDUSTRY CODES

01 : AGRI & RELATED SERVICE ACTIVITIES	23 : MFG OF COAL/COKE/PETRO PRODUCTS	41 : WATER SUPPLY	72 : COMPUTER & RELATED ACTIVITIES
02 : FORESTRY, LOGGING & RELA ACTIVITIES	24 : MFG OF CHEMICALS PRODUCTS	45 : CONSTRUCTION	73 : RESEARCH & DEVELOPMENT
05 : FISHING & RELATED ACTIVITIES	25 : MFG OF RUBBER/PLASTIC PRODUCTS	50 : WHOLESALE/RETAIL TRADE	74 : OTHER BUSINESS ACTIVITIES
10 : MINING OF COAL & LIGNITE	26 : MFG OF NON-METALIC MINERAL PRODUCTS	51 : WHOLESALE/COMMISSION TRADE	75 : PUBLIC ADMN AND DEFENCE
11 : PETROLEUM & NATURAL GAS	27 : MFG OF BASIC METALS	52 : RETAIL TRADE	80 : EDUCATION
12 : URANIUM & THORIUM	28 : MFG OF FABRICATE METAL PRODUCT	55 : HOTELS/RESTAURANTS	85 : HEALTH & SOCIAL WORK
13 : MINING OF METAL ORES	29 : MFG OF MACHINERY/N.E.C.	60 : TRANSPORT/STORAGE/COMMUNICATION	90 : SEWAGE/SANITATION
14 : OTHER MINING/QUARRYING	30 : MFG OF COMPUTING MACHINERY	61 : WATER TRANSPORT	91 : ACTIVITIES OF MEMBERSHIP ORGANIZATION
15 : MFG OF FOOD PRODUCTS/BEVERAGES	31 : MFG OF ELECTRICAL MACHINERY	62 : AIR TRASPORT	92 : RECREATIONAL/CULTURAL/SPORTING
16 : MFG OF TOBACCO PRODUCTS	32 : MFG OF RADIO/TV/COMMUNICATION	63 : SUPPORTING/AUXILIARY TRANSPORT	93 : OTHER SERVICE ACTIVITIES
17 : MFG OF TEXTILES	33 : MFG OF MEDICAL/OPTICAL EQUIPMENT	64 : POST & TELECOMMUNICATIONS	94 : PERSONAL LOANS
18 : MFG OF WEARING APPAREL	34 : MFG OF MOTOR VEHICLES/TRAILERS	65 : FINANCIAL INTERMEDIATION	98 : MULTI-LEVEL MARKETING FIRM (MLM)
19 : MFG OF LEATHER PRODUCTS	35 : MFG OF OTHER TRANSPORT EQUIPMENT	66 : INSURANCE/PENSION FUNDING	99 : MISCELLANEOUS
20 : MFG OF WOOD PRODUCTS	36 : MFG OF FURNITURE/N.E.C.	67 : AUXILIARY FINANCIAL INTERMEDIA	
21 : MFG OF PAPER & PAPER PRODUCTS	37 : RECYCLING	70 : REAL ESTATE ACTIVITIES	
22 : PUBLISHING/PRINTING	40 : ELECTRICITY/GAS/STEAM SUPPLY	71 : RENTING OF MACHINERY/EQUIPMENT	

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## 11. OTHER ENTITY DETAILS:

DETERMINE\* WHETHER THE ENTITY IS 'FI' OR 'NFE' [AN ENTITY CAN BE EITHER AN 'FI' OR 'NFE', IT CAN NOT BE BOTH]

☐ FINANCIAL INSTITUTION (FI) : (IF FINANCIAL INSTITUTION (FI) IS TICKED , PLEASE ALSO FILL ANNEXURE I & ANNEXURE II FOR ALL THE RELATED PERSON)  
(BANKS, INSURANCE AGENCIES, NBFCs ETC.) OR

☐ NON FINANCIAL ENTITY (NFE) : IF ENTITY IS NFE, WHETHER IT IS\*: ☐ ACTIVE NFE OR ☐ PASSIVE NFE

(AN ENTITY CAN BE EITHER AN 'ACTIVE NFE' OR A 'PASSIVE NFE', IT CAN NOT BE BOTH - SEE INSTRUCTIONS 'H' IN GENERAL GUIDELINES FOR ACTIVE & PASSIVE NFE)

NUMBER OF CONTROLLING PERSON(S):  (APPLICABLE ONLY IN CASE OF PASSIVE NFE, FILL ANNEXURE II FOR EACH CONTROLLING PERSON)

DIRECT REPORTING NON FINANCIAL FOREIGN ENTITY (NFFE): ☐ YES ☐ NO

IF YES PLEASE PROVIDE GIIN OF DIRECT REPORTING NFFE:

LEGAL ENTITY IDENTIFIER (L.E.I CODE. NO.):   
(AS & WHEN APPLICABLE)

## 12. COUNTRY OF RESIDENCE AS PER TAX LAWS \*

TAX RESIDENT OF INDIA ONLY AND NOT OF ANY OTHER COUNTRY OUTSIDE INDIA YES ☐ NO ☐

(IF TICKED "YES" THEN THERE IS NO NEED TO FILL IN THE BOX BELOW)

TAX RESIDENT OF US: YES ☐ NO ☐ (IF 'YES', PLEASE PROVIDE US TIN) US TIN:

IF TAX RESIDENT OF US, WHETHER THE PERSON IS

A US PERSON YES ☐ NO ☐ (A TAX RESIDENT OF US IS US PERSON, SEE INSTRUCTION 'J')

A SPECIFIED US PERSON (SEE INSTRUCTIONS 'K') YES ☐ NO ☐ (IF SPECIFIED US PERSON IS YES , THEN THE ENTITY IS US REPORTABLE)

TAX RESIDENT OUTSIDE INDIA OTHER THAN US: YES ☐ NO ☐

IF 'YES', PLEASE PROVIDE COUNTRY CODE  & TIN / FUNCTIONAL EQUIVALENT:

IF TAX RESIDENT OUTSIDE INDIA OTHER THAN US IS "YES", WHETHER ENTITY FALLS IN ANY OF THE FOLLOWING CATEGORY (TICK FROM THE FOLLOWING CATEGORY AS APPLICABLE - IF NONE OF THE FOLLOWING CATEGORY IS MARKED "YES" THEN THE ACCOUNT IS AN "OTHER REPORTABLE ACCOUNT")

I. ANY CORPORATION THE STOCK OF WHICH IS REGULARLY TRADED ON ONE OR MORE ESTABLISHED SECURITIES MARKET YES ☐ NO ☐

II. ANY CORPORATION THAT IS A RELATED ENTITY OF A CORPORATION DESCRIBED IN (I) ABOVE YES ☐ NO ☐

III. A GOVERNMENTAL ENTITY YES ☐ NO ☐

IV. AN INTERNATIONAL ORGANIZATION YES ☐ NO ☐

V. A CENTRAL BANK YES ☐ NO ☐

VI. A FINANCIAL INSTITUTION YES ☐ NO ☐

NO RESIDENCE FOR TAX PURPOSE YES ☐ NO ☐

IF ANY OF THE ITEM (I) TO (VI) IS TICKED 'YES' THE ACCOUNT IS NOT AN "OTHER REPORTABLE ACCOUNT"

IF ENTITY IS NEITHER A TAX RESIDENT OF INDIA OR US NOR A TAX RESIDENT OUTSIDE INDIA OTHER THAN US, THEN THE FIELD NO RESIDENCE FOR TAX PURPOSE WILL BE 'YES'

IF 'YES' PLEASE PROVIDE , COUNTRY CODE WHERE THE PRINCIPAL OFFICE OF THE ENTITY LOCATED COUNTRY CODE

MULTIPLE TAX RESIDENCY\*: YES ☐ NO ☐ (IF 'YES', PLEASE FILL THE TABLE BELOW)

1. IF AN ENTITY IS A SPECIFIED US PERSON AND ALSO HAS A TAX RESIDENCY OUTSIDE INDIA OTHER THAN US, THE ENTITY HAS MULTIPLE TAX RESIDENCY.

2. IF IT IS NOT A SPECIFIED US PERSON BUT HAS TAX RESIDENCIES OUTSIDE INDIA OTHER THAN US IN MORE THAN ONE COUNTRY THE ENTITY, HAS MULTIPLE TAX RESIDENCY.

COUNTRY OF TAX RESIDENCE OUTSIDE INDIA OTHER THAN US	TAX IDENTIFICATION NUMBER OR EQUIVALENT , IF ISSUED BY JURISDICTION	IDENTIFICATION TYPE (TIN, COMPANY IDENTIFICATION NUMBER (CIN) , EIN OR OTHER, PLEASE SPECIFY)
ADDRESS*		
LINE 1: <input type="text"/>		CITY: <input type="text"/>
LINE 2: <input type="text"/>		STATE: <input type="text"/>
LINE 3: <input type="text"/>		PIN: <input type="text"/>
COUNTRY OF TAX RESIDENCE OUTSIDE INDIA OTHER THAN US	TAX IDENTIFICATION NUMBER OR EQUIVALENT, IF ISSUED BY JURISDICTION	IDENTIFICATION TYPE (TIN, COMPANY IDENTIFICATION NUMBER (CIN) , EIN OR OTHER, PLEASE SPECIFY)
ADDRESS*		
LINE 1: <input type="text"/>		CITY: <input type="text"/>
LINE 2: <input type="text"/>		STATE: <input type="text"/>
LINE 3: <input type="text"/>		PIN: <input type="text"/>

FATCA & CRS BOX

## 15. APPLICANT DECLARATION

1. We hereby declare that the details furnished above are true and correct to the best of our knowledge and belief and We undertake to inform you of any changes therein, immediately. In case any of the information is found to be false or untrue or misleading or misrepresenting, We are aware that We may be held liable for it.

2. We certify that We have the capacity to sign for the entity as per the CBDT rules/RBI guidelines.

3. We certify and declare that The Company does belong to the class of companies specified in sub-rule (2) of the Companies Rules 2017 (Restrictions on number of Layers) and it (Company) does not have more than two layers of subsidiaries. (As per the details given in Ministry of Corporate Affairs, Gazette notification No. 793 dated 21st Sept 2017).

4. We affirm and declare that We have read over and understood the rules and regulations of the State Bank of India ("Bank") and those relating to various services offered by the Bank including but not limiting to debit card/internet banking/SMS banking/Tele-banking/Mobile Banking/Virtual Banking and any other facilities. We agree to abide by the same as amended/modified from time to time by the Bank/ Regulator/ Government published through circulars, notifications, notice board/ websites/ newspaper publications, etc. We waive the rights, if any, to have personal notice in respect of such amendments/ modifications. We agree that the transactions and requests executed in our account(s) by me/authorized person through internet, mobile, tele- banking or virtual banking under our User ID and password/PIN/OTP will be legally binding on us & We are responsible for the maintenance of secrecy and confidentiality of the authentication credentials and any other information/ details/OTP/PIN, etc., in such matters. We agree that Bank has got all the rights to debit our account for any service charge, expenses or other dues which the Bank is entitled/ liable to recover from us. We also authorise the Bank and agree to close/ discontinue our account without any notice to us in case of any violation of laws/rules/ regulations or terms and conditions of maintaining the account. We hereby undertake to inform the Bank on any change in our communication address or constitution, and We shall submit the address proof in case of transfer of our account from one branch to another branch.

5. We confirm and declare that We are not prevented/prohibited/restricted by any applicable legal/regulatory/contractual or other provisions from opening and/or maintaining the accounts or to transact with the Bank in any other way.

6. We agree that our personal KYC details may be shared with Central KYC registry or any other competent authority. We hereby consent to receive information from the Bank/Central KYC Registry/Gol/RBI or any other authority through SMS/e-mail on my registered mobile number/ e-mail address. We also agree that the non-receipt of any such SMS/e-mail shall not make the Bank liable for any loss or damage whatsoever in nature.

7. We hereby certify that We have declared my status as per the rules applicable under section 285BA of the Income Tax Act, 1961 as notified by Central Board of Direct Taxes (CBDT) vide Notification No. S.O. 2155(E) dated 7 August 2015 and RBI Circular Ref No. DBR.AML.BC.No.36/ 14.01.001/2015-16 dated 28 August 2015 in the matter including any subsequent modification/amendment thereof.

8. We understand, acknowledge and authorize that as per the provisions of Income Tax Act, Rules made thereunder and the guidelines issued by the Government/RBI in the matter, depending upon the residential status and/or other criteria stipulated therein, the Bank may have to report the details in respect of our account(s) as per the prescribed format to the Central Board of Direct Taxes (CBDT) or other Government Agencies to comply with the obligations as per the Inter- Governmental Agreements (IGA) in respect of Foreign Accounts Tax Compliance Act (FATCA) and Common Reporting Standards (CRS) and / or any other similar arrangements.

9. We certify & declare that the information provided by us for opening account and availing other services herein or through website/electronically as applicable to us and signed /

authenticated by us as well as in the documentary evidence provided by us for opening account and availing other services are, to the best of our knowledge and belief, true, correct and complete and that We have not withheld any material information that may affect the assessment/categorization of our account as a U.S. Reportable Account or Other Reportable Account or otherwise. In case any of the information or details provided by us is found to be false or untrue or misleading or misrepresenting, We are aware that We may be held liable for it.

10. We undertake the responsibility to declare and disclose immediately and in no case beyond 30 days from the date of change, any changes that may take place in the information provided herein/or otherwise, as well as in the documentary evidence provided by us or if any certification becomes incorrect or undergoes a change. I further undertake to provide fresh and valid self-certification along with documentary evidence as and when so required; nevertheless all declaration and undertaking given herein will also be applicable to all such modified/amended documents/information provided by us unless revised self-certification as above is provided to the Bank.

11. We also agree that our failure to disclose any material fact/information known to us now or in future or our failure to remedy any deficiency in documents/ information/other details within the stipulated period, may invalidate us from transacting in the account and the Bank would be within its right to put restrictions in the operations of our account or to close it or to report to any regulator and/or any authority designated by the Government of India (Gol)/RBI for the said purpose or take any other action as may be deemed appropriate by the Bank under the guidelines issued by CBDT/RBI/Gol from time to time.

12. We also agree to furnish and intimate to the Bank any other particulars that are called upon us to provide on account of any change in law either in India or abroad in relating to the operation or maintenance of the account.

13. We shall indemnify the Bank from any loss/damage that may be caused to the Bank on account of any defect/mistake in the details provided herein or on account of providing incorrect or incomplete information by us.

14. We undertake to submit data/information together with fresh KYC documents for updation of KYC details at periodical intervals as may be required by the Bank.

15. We understand that the account will be activated and debits will be allowed only after completion of Customer Due Diligence relating to KYC by the Bank.

16. We have been advised of Monthly average/minimum balance requirement for the account to be opened and given to understand that these requirements are subject to revision/change and such revision/changes will be uploaded in the Bank's site which will be acceptable to us from time to time as a notice to that effect.

17. We Undertake to submit Aadhaar and / or PAN within 6 months from the date of opening of account, failing to which I understand my account will cease to be operational as per GOI guidelines, as amended from time to time Prevention of Money laundering (Maintenance of Records) Rules 2005. (In case the account is opened without Aadhaar / PAN)

18. We acknowledge and agree that the bank may at its absolute discretion disclose any of our information if required or permitted by any law rule or regulation or at the request/ direction of any statutory or regulatory authority or court of law or such disclosure is required for the purpose of preventing any fraud without any specific consent authorisation from us.

19. We hereby confirm that We have read and understood all the SBI Current Account rules as applicable to Current Accounts as well as the General instructions for filling Current Account opening form as available at the Banks website : bank.sbi>>Business>>Current Account. Physical copy of General instructions is also available at the Branch.

Please paste photograph here
Signature of Authorised Signatory 1
NAME :  DESIGNATION :  DATE :

Please paste photograph here
Signature of Authorised Signatory 2
NAME :  DESIGNATION :  DATE :

Please paste photograph here
Signature of Authorised Signatory 3
NAME :  DESIGNATION :  DATE :

OFFICER (SIGNATURE)
NAME : PF No. : SS No. :

OFFICER (SIGNATURE)
NAME : PF No. : SS No. :

OFFICER (SIGNATURE)
NAME : PF No. : SS No. :

**FOR OFFICE USE ONLY**

1. APPLICANT INTERVIEWED AND PURPOSE ASCERTAINED (SPECIFY THE PURPOSE): \_\_\_\_\_

2. WHETHER SELF - CERTIFICATION & DOCUMENTS SUBMITTED BY THE CUSTOMERS HAVE BEEN VERIFIED AND FOUND CORRECT AND RELIABLE: ☐ YES ☐ NO

(CARE : BRANCH TO PROCEED WITH OPENING OF ACCOUNT ONLY WHEN THIS CERTIFICATION IS "YES" )

3. THRESHOLD LIMIT IS RS: \_\_\_\_\_

4. DOCUMENTS RECEIVED: ☐ SELF CERTIFIED ☐ TRUE COPIES ☐ NOTARY5. RISK CATEGORY: ☐ HIGH ☐ MEDIUM ☐ LOW6. IN PERSON IDENTITY AND SIGNATURE VERIFIED ☐ YES ☐ NO

7. AUTHORISED OFFICIAL HAS VERIFIED THE BUSINESS ACTIVITY AT THE ADDRESS MENTIONED IN ACCOUNT OPENING FORM:

☐ YES ☐ NO

OFFICIAL NAME: \_\_\_\_\_

PF NO.: \_\_\_\_\_ DESIGNATION: \_\_\_\_\_

DATE: 

SS NO.: \_\_\_\_\_

OPEN CIF

QUEUE NO.

INITIALS

DATE: CIF: CIF NUMBER: 

OPEN THE ACCOUNT

BRANCH MANAGER / AUTHORISED OFFICIAL

ACCOUNT OPENED ON: 

REMARKS (IF ANY): \_\_\_\_\_

ACCOUNT NUMBER: 

SIGNATURE

(AUTHORISED SIGNATORY)

SIGNATURE

**ASSISTANT (SIGNATURE)**

NAME : \_\_\_\_\_

S. S. No. / P.F. No. \_\_\_\_\_

EMP. / OFF. DESIGNATION \_\_\_\_\_

EMP. / OFF. BRANCH \_\_\_\_\_

**OFFICER (SIGNATURE)**

NAME : \_\_\_\_\_

S. S. No. / P.F. No. \_\_\_\_\_

EMP. / OFF. DESIGNATION \_\_\_\_\_

EMP. / OFF. BRANCH \_\_\_\_\_

**CURRENT ACCOUNT RULES**

- Whenever the customer does not use alternate channels for opening the Current Account, payments to credit of an account with the Bank should ordinarily be accompanied by a payin slip duly signed by the constituent. Slips with counterfoils will be supplied in book form and the entry of the transactions made in the counterfoil will be authenticated by the initials of an authorised employee of the Bank. The depositor should satisfy himself that the transaction is so certified.
- Cheques must be drawn on the Bank's printed forms. The Bank reserves its right to refuse payment of any cheque drawn otherwise. The bank reserves the right to refuse payment of cheques that have been altered in any way unless the alteration is authenticated by the drawer under full signature. Cheques should be drawn in such a way as to prevent alteration after issue, and the signature should be uniform with that on record at the Bank.
- Constituents should not overdraw their accounts, even for small amounts without having made previous arrangements. Overdraft are granted in current accounts on terms as per extant instructions. Interest will be charged at the rates stipulated by the Bank and calculated upon the daily balances.
- The Bank will register instructions from the drawer regarding cheques lost, stolen, etc. but cannot guarantee depositors against loss in such cases in the event of such a cheque being paid.
- The bank collects bills, drafts, cheques, pay and pension bills, etc. on behalf of constituents. In personal accounts, the Bank offers up to a specified limit immediate credit in respect of cheques, drafts, dividend warrants, etc., payable at outstation branches.
- Local cheques, etc. will be cleared under CTS Clearing
- Cheques, bills, etc. sent in for collection and credit of an account must not be drawn against until they have been realised.
- Bills, notes, etc. not payable on demand, intended for realisation by the Bank, should be sent at least one clear day before due date.
- The Bank accepts standing instructions on accounts for making periodic remittances, etc.
- Statements of accounts will be sent to constituents periodically and can be obtained at any time on application. The entries of accounts should be carefully examined by the constituent, and, if any errors or omissions are discovered, the attention of the Bank must be drawn to them immediately. The Bank will not be responsible for any loss arising from neglect of this precaution.
- Any change in the address of the constituent must be promptly advised to the Bank. In all their correspondence with the Bank and on pay-in slips etc. constituents should clearly mention the account number allotted at the time of opening of the account.
- Accounts may be transferred at the request of the constituents to any other office of the Bank.
- The Bank accepts securities and shares for safe custody and realisation of interest, dividends, etc. on terms which may be had on application.
- The Bank reserves the right to alter/add to/delete any of these rules at any time.

We declare and certify our entity status under Rules 114F to 114H of the Income tax Rules, 1962 notified vide CBDT Notification No. S.O. 2155(E) dated 7 August 2015 and RBI Circular Ref No. DBR.AML.BC.No.36/14.01.001/2015-16 dated 28 August 2015, as under:

	Tick status of Financial Institution		Yes	No		
	Name of Entity					
1.	a)	Depository Institution				
	b)	Custodial Institution				
	c)	Investment Entity which is not a passive NFE				
	d)	Specified Insurance Company				
2.	Owner-Documented FI with substantial US owner(s) – details of substantial US Owner to be captured as per Annexure-II					
3.	Reporting Financial Institution					
4.	If 2 OR 3 above is yes, please provide Global Intermediary Identification Number (GIIN)					
5.	Non-Participating Financial Institution					
6.	Non-Reporting Financial Entity (If Yes, Please Tick one of the category in the Table below)					
	S No.	Category of NRFI	(✓)	S No.	Category of NRFI	(✓)
	1.	Governmental Entity;		13.	Provident fund	
	2.	International Organisation;		14.	An Indian investment entity which is wholly held by NRFI's referred to in (i) to (xiii) above and where any debt interest is held by a depository institution or NRFI's referred to in (i) to (xiii) above	
	3.	Central Bank;		15.	Qualified credit card issuer;	
	4.	Treaty Qualified Retirement Fund;		16.	Specified Investment entity as per CBDT rules (Rule 114F(5)(f));	
	5.	Narrow Participation Retirement Fund;		17.	Exempt collective investment vehicle;	
	6.	Broad Participation Retirement Fund;		18.	Trustee-documented Indian Trust;	
	7.	Pension Fund of a Governmental Entity;		19.	Financial Institution with a local client base;	
	8.	Pension Fund of an International Organisation;		20.	Local Bank (including Regional Rural Bank, Urban Cooperative Banks, State Cooperative Banks / District Central Cooperative Banks, Local Area Banks provided that the assets test as in Explanation (O) to Rule 114F(5);	
	9.	Pension Fund of a Central Bank;		21.	Financial Institution with only low-value accounts;	
	10.	Non-public fund of the armed forces;		22.	Sponsored investment entity and controlled foreign corporation (in case of any U.S. reportable account);	
	11.	Employees' state insurance fund;		23.	Sponsored closely held investment vehicle (in case of any U.S. reportable account)	
	12.	Gratuity Fund;		24.	An Indian investment entity which is wholly held by NRFI's referred to in (i) to (xiii) above and where any debt interest is held by a depository institution or NRFI's referred to in (i) to (xiii) above	
7.	Sponsored Investment Entity					
a)	GIIN of Sponsored entity					

We certify that we have the capacity to sign for the Financial Institution as per CBDT rules/RBI guidelines.

Date:

Place: \_\_\_\_\_

SIGNATURE(S)  
NAME OF THE AUTHORIZED PERSON OF ENTITY

(SEPARATE FORM FOR EACH CONTROLLING PERSON /RELATED PERSON/BENEFICIAL OWNER TO BE FILLED IN)

FOR OFFICE USE ONLY										BRANCH TO AFFIX RUBBER STAMP OF NAME AND CODE NO.										PHOTOGRAPH OF THE CONTROLLING PERSON/ RELATED PERSON/ BENEFICIAL OWNER.									
APPLICATION TYPE*			<input type="checkbox"/> NEW <input type="checkbox"/> UPDATE																										
APPLICANT (CP/RP) CIF NO.:			<input type="text"/>																										
CP/RP Account No.:			<input type="text"/>																										
ENTITY NAME:																													
<input type="text"/>																													

**1. DETAILS OF CONTROLLING PERSON / RELATED PERSON / BENEFICIAL OWNER \***  
(Please refer General Instruction) :**1. A DETAILS OF CONTROLLING PERSON (For Passive NFE Only) :**

<input type="checkbox"/> ADDITION OF CONTROLLING PERSON	<input type="checkbox"/> DELETION OF CONTROLLING PERSON	<input type="checkbox"/> UPDATE CONTROLLING PERSON DETAILS
CKYC / KYC NUMBER (IF AVAILABLE *) :		(IF CKYC / KYC NUMBER IS AVAILABLE, ONLY 'CONTROLLING TYPE' & 'NAME' IS MANDATORY)
TYPE OF CONTROL*:		
IN CASE OF LEGAL PERSON :		
<input type="checkbox"/> OWNERSHIP	<input type="checkbox"/> OTHER MEANS	<input type="checkbox"/> SENIOR MANAGING OFFICIALS
IN CASE OF TRUST:		
<input type="checkbox"/> SETTLOR	<input type="checkbox"/> TRUSTEE	<input type="checkbox"/> PROTECTOR
<input type="checkbox"/> BENEFICIARY	<input type="checkbox"/> Others	
IN CASE OF OTHER LEGAL ARRANGEMENT:		
<input type="checkbox"/> SETTLOR-EQUIVALENT	<input type="checkbox"/> TRUSTEE-EQUIVALENT	<input type="checkbox"/> PROTECTOR-EQUIVALENT
<input type="checkbox"/> BENEFICIARY-EQUIVALENT	<input type="checkbox"/> OTHER-EQUIVALENT	
IN CASE OF UNKNOWN		

**1. B DETAILS OF RELATED PERSON**

<input type="checkbox"/> ADDITION OF RELATED PERSON	<input type="checkbox"/> DELETION OFRELATED PERSON	<input type="checkbox"/> UPDATE RELATED PERSON DETAILS
CKYC / KYC NUMBER OF RELATED PERSON (IF AVAILABLE*):		(IF CKYC / KYC NUMBER IS AVAILABLE, ONLY 'RELATED PERSON TYPE' & 'NAME' IS MANDATORY)
RELATED PERSON TYPE*:		
<input type="checkbox"/> DIRECTOR	<input type="checkbox"/> PROMOTER	<input type="checkbox"/> KARTA
<input type="checkbox"/> TRUSTEE	<input type="checkbox"/> PARTNER	<input type="checkbox"/> AUTHORISED SIGNATORY
<input type="checkbox"/> Power of Attorney Holder		
(MORE THAN ONE BOX CAN BE TICKED AS APPLICABLE)		
<input type="checkbox"/> COURT APPOINTED OFFICIAL	<input type="checkbox"/> BENEFICIARY	<input type="checkbox"/> BENEFICIAL OWNER
<input type="checkbox"/> POWER OF ATTORNEY HOLDER	<input type="checkbox"/> OTHERS	

**2. PERSONAL DETAILS\* (Please refer Instruction G II)**

	P R E F I X	F I R S T N A M E	M I D D L E N A M E	L A S T N A M E
NAME (SAME AS ID PROOF)*:	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
MAIDEN NAME (IF ANY):	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
FATHER NAME*:	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
SPOUSE NAME :	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
MOTHER NAME*:	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
UID / AADHAAR NO.:	<input type="text"/>	OR		AADHAAR ENROLMENT NO.:
DATE OF BIRTH*:	<input type="text"/>	DIN :		(FOR DIRECTOR OF THE COMPANY)
GENDER*:	<input type="checkbox"/> M - MALE	<input type="checkbox"/> F - FEMALE	<input type="checkbox"/> T- TRANSGENDER	
MARITAL STATUS*:	<input type="checkbox"/> MARRIED	<input type="checkbox"/> UNMARRIED	<input type="checkbox"/> OTHERS	NATIONALITY: <input type="checkbox"/> IN-INDIAN <input type="checkbox"/> OTHERS
RESIDENTIAL STATUS*:	<input type="checkbox"/> RESIDENT INDIVIDUAL	<input type="checkbox"/> NON RESIDENT INDIAN	<input type="checkbox"/> FOREIGN NATIONAL	<input type="checkbox"/> PERSON OF INDIAN ORIGIN
CITIZENSHIP*:	<input type="checkbox"/> INDIAN	<input type="checkbox"/> OTHERS		
OCCUPATION TYPE*:	<input type="checkbox"/> S - SERVICE	<input type="checkbox"/> PUBLIC SECTOR	<input type="checkbox"/> PRIVATE SECTOR	<input type="checkbox"/> GOVERNMENT SECTOR
<input type="checkbox"/> O - OTHERS	<input type="checkbox"/> PROFESSIONAL	<input type="checkbox"/> SELF EMPLOYED	<input type="checkbox"/> RETIRED	<input type="checkbox"/> HOUSE WIFE
<input type="checkbox"/> B - BUSINESS	<input type="checkbox"/> NOT CATEGORIZED	(PLEASE SPECIFY _____)		

ANNUAL INCOME \_\_\_\_\_

POLITICALLY EXPOSED PERSON\* : ☐ YES ☐ NO

Politically exposed person are individuals who are or have been entrusted with prominent public function in a foreign country, eg. Heads of States or of Governments, senior government / judicial / military officers, senior executives of state-owned corporations, important political party officials, etc.

COUNTRY CODE OF TAX RESIDENCE\*:  (CODE FOR INDIA IS "IN") (ISO 3166)COUNTRY OF TAX RESIDENCE IN INDIA ONLY AND NOT IN ANY OTHER COUNTRY OR TERRITORY OUTSIDE INDIA\* ☐ YES ☐ NO (IF NO, PLEASE FILL THE DETAILS IN COLOUMN 6 & 7 IN PAGE 2)PAN /TAX IDENTIFICATION NUMBER OR EQUIVALENT\* :  (IF JURISDICTION OF RESIDENCE FOR 'TAX PURPOSE' IS INDIA ONLY, THE PAN IN THIS FIELD)PLACE / CITY OF BIRTH\* :  COUNTRY CODE OF BIRTH\* :  (ISO 3166)



## 3. PROOF OF ADDRESS\* (Copies of the document, as applicable, need to be submitted) (Please refer General Instruction 'E')

## COPY OF ANY ONE OF THE FOLLOWING OVD WITH CURRENT ADDRESS NEEDS TO BE SUBMITTED

☐ A- PASSPORT ☐ B- VOTER ID CARD ☐ C- DRIVING LICENCE ☐ D- NREGA JOB CARD  
☐ E- LETTER ISSUED BY NATIONAL POPULATION REGISTER CONTAINING ☐ F- AADHAAR CARD

OR

IDENTITY NUMBER :   
Issued Date :   
Date of Expiry :   
Issued at :   
Issued by :

## PROOF OF ADDRESS IN CASE OVD IN POINT NO 3 DOES NOT CONTAIN UPDATED ADDRESS.

## COPY OF ANY ONE DEEMED OVD NEEDS TO BE SUBMITTED

PROOF OF ADDRESS\* : ☐ UTILITY BILLS (Not more than two months old) ☐ PROPERTY / MUNICIPAL TAX RECEIPT ☐ PENSION PAYMENT ORDER (PPO)  
☐ LETTER OF ALLOTMENT OF ACCOMODATION FROM EMPLOYER ISSUED BY STATE/CENTRAL/GOVT/STATUTORY OR REGULATORY BODIES/PUBLIC SECTOR UNDERTAKINGS/SCHEDULED  
COMMERCIAL BANKS/FINANCIAL INSTITUTIONS/LISTED COMPANIES

## 4. ADDRESS DETAILS:

LINE 1\*:   
LINE 2:   
LINE 3:  CITY/ TOWN/VILLAGE\*:   
DISTRICT\*:  PIN/POST CODE\*:   
STATE/UT NAME\*   
STATE/UT NAME CODE\*:  COUNTRY CODE\*:

## 5. CONTACT DETAILS

MOBILE :   
EMAIL ID 1:   
EMAIL ID 2:   
TELE (OFF.) :  TELE (RES.):

## 6. MULTIPLE TAX RESIDENCY: Details of Country of Tax Residence (In addition to India) in US and/or in any other Country or Territory Outside India as Under:

COUNTRY OF TAX RESIDENCE#	TAX IDENTIFICATION NUMBER OR EQUIVALENT, IF ISSUED BY JURISDICTION	IDENTIFICATION TYPE (TIN OR OTHER, PLEASE SPECIFY)

# In case, country of tax residence is India, PAN is treated as TIN.

1. A citizen of US including individual born in US but resident in another country (who has not given up US citizenship).

2. A person residing in US including US green card holder.

3. Certain persons who spend more than 180 days in US each year.

## 7. ADDRESS IN OUTSIDE JURISDICTION/COUNTRY - WHERE THE APPLICANT IS RESIDENT OUTSIDE INDIA FOR TAX PURPOSES

ADDRESS TYPE\*: ☐ RESIDENTIAL / BUSINESS ☐ RESIDENTIAL ☐ BUSINESS ☐ REGISTERED OFFICE ☐ UNSPECIFIED  
LINE 1\*:   
LINE 2:   
LINE 3:  CITY / TOWN / VILLAGE\*:   
DISTRICT\*:  PIN / POST CODE\*:   
STATE / UT NAME CODE\*:  COUNTRY CODE\*:  (ISO 3166)

## 8. FORM - 60 (In Case PAN is not Available)

NAME:   
(SAME AS ID PROOF)

IF APPLIED FOR PAN AND IT IS NOT YET GENERATED, ENTER DATE OF APPLICATION  & THE ACKNOWLEDGEMENT NUMBER

IF PAN IS NOT APPLIED, FILL ESTIMATED TOTAL INCOME (INCLUDING INCOME OF SPOUSE, MINOR CHILD, ETC) AS PER SECTION 64 OF INCOME TAX ACT 1961 FOR FINANCIAL YEAR IN WHICH THE ABOVE TRANSACTION IS HELD

AGRICULTURE INCOME (RS)  OTHER THAN AGRICULTURAL INCOME

## VERIFICATION

I, ..... do hereby declare that what is stated above is true to the best of my knowledge and belief. I further declare I do not have a permanent account number and my/our estimated total income (including income of spouse, minor child, etc.) as per section 64 of Income Tax Act 1961 computed in accordance with the provisions of Income Tax Act 1961 for the financial year in which the above transaction is held will be less than maximum amount not chargeable to tax.

Verified today, the ..... day of ..... 20.....

Place: .....

Signature of the Declarant



## 9. APPLICANT DECLARATION

- I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.
- My personal KYC details may be shared with Central KYC Registry.
- I hereby consent to receive information from Central KYC Registry through SMS/ email on the above registered number /email address.
- I hereby certify that I have declared my status as per the rules applicable under section 285 BA of the Income Tax Act, 1961 as notified by Central Board of Direct Taxes cbdt vide notification No. S.O. 2155(E) dated 7 August 2015 and RBI circular Ref No. DBR.AML.BC.No.36/14.01.001/2015-16 dated 28 August 2015 in the matter including any subsequent modification/ amendment thereof.
- I understand, acknowledge and authorise that as per the provisions of Income Tax Act, Rules made thereunder and the guidelines issued by the Government/RBI in the matter, depending upon the residential status and/or other criteria stipulated therein, the Bank may have to report the details in respect of my account as per the prescribed format to the Central Board of Direct Taxes (CBDT) or other Government Agencies to comply with the obligations as per the Inter-Governmental Agreements(IGA) in respect of Foreign Accounts Tax Compliance Act (FATCA) and Common Reporting Standards(CRS) and/or any other similar arrangements.
- I certify & declare that the information provided by me for opening account and availing other services herein or through website/ electronically as applicable to me and signed/ authenticated by me as well as in the documentary evidence provided by me for opening account and availing other services are, to the best of my knowledge and belief true, correct and complete and that I have not withheld any material information that may affect the assessment/ categorisation of my account as a U.S. Reportable Account or Other Reportable Account or otherwise. In case any of the information or details provided by me is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.
- I undertake the responsibility to declare and disclose immediately and in no case beyond 30 days from the date of change, any changes that may take place in the information provided herein/ or otherwise, as well as in the documentary evidence provided by me or if any certification becomes incorrect or undergoes a change. I further undertake to provide fresh and valid self-certification along with documentary evidence as and when so required, nevertheless all declaration and undertaking given herein will also be applicable to all such modified /amended documents /information provided by me unless revised selfcertification as above is provided to the bank.
- I also agree that my failure to disclose any material fact/ information known to me now or in future or my failure to remedy any deficiency in documents /information/ other details within the stipulated period, may invalidate me from transacting in the account and the bank would be within its right to put restrictions in the operations of my account or to close it or to report to any regulator and/ or any authority designated by the Government of India(GoI)/ RBI for the said purpose or take any other action and may be deemed appropriate by the bank under the guidelines issued by CBDT/ RBI/ GoI from time to time.
- I also agree to furnish and intimate to the bank any other particulars that are called upon me to provide on account of any change in law either in India or abroad in relating to the operation or maintenance of the account.
- I shall indemnify the bank from any loss / damage that may be caused to the bank on account of any defect/ mistake in the details provided herein or on account of providing incorrect or incomplete information by me.
- In case, deemed OVDs are submitted for current address at the time of Account opening, I undertake to submit Aadhaar or any of the OVD having Current Address within 3 months from the date of account opening, failing to which I understand that my account may cease to be operational as per GOI guidelines at the material time.
- In respect of account opened on the basis of Aadhar, I hereby declare that I have submitted the Aadhar card issued by UIDAI voluntarily for identification and / or address proof towards the compliance of KYC norms under the PMLA 2002 and I hereby consent that the bank may verify the same with UIDAI and authorise the UIDAI expressly to release the identity and address through biometric authentication to the bank.
- I hereby confirm that I have read and understood all the SBI Current Account rules as applicable to Current Account as well as the General instructions for filling Current Account opening form as available at the Banks website : bank.sbi>>Business>>Current Account  
Physical copy of General instructions is also available at the Branch.

DATE:

PLACE: \_\_\_\_\_

Signature of the Applicant

## ATTESTATION / FOR OFFICE USE ONLY

DOCUMENTS RECEIVED: ☐ SELF-CERTIFIED ☐ TRUE COPIES ☐ NOTARY

RISK CATEGORY: ☐ HIGH ☐ MEDIUM ☐ LOW

IN PERSON VERIFICATION CARRIED OUT BY IDENTITY VERIFICATION: ☐ DONE

DATE:

EMP/OFFICIAL SIGNATURE \_\_\_\_\_ EMP/OFF. NAME: \_\_\_\_\_

S.S No. / P.F No.: \_\_\_\_\_ EMP/OFF. DESIGNATION: \_\_\_\_\_ EMP/OFF. BRANCH: \_\_\_\_\_

**APPLICATION FORM FOR MULTIPLE CORRESPONDENCE/ LOCAL ADDRESS**  
(Separate Form to be filled in for multiple Address)

**ANNEXURE – III**

**INSTRUCTIONS:**

- FIELDS MARKED WITH '\*' ARE MANDATORY
- PLEASE FILL THE FORM IN ENGLISH AND IN BLOCK LETTERS

APPLICATION TYPE\*: ☐ NEW ☐ UPDATE

KYC NUMBER (TO BE FILLED BY FINANCIAL INSTITUTION):

(KYC NUMBER OF ENTITY IS MANDATORY FOR UPDATE REQUEST)

**PROOF OF ADDRESS (POA)**

**CORRESPONDENCE / LOCAL ADDRESS DETAILS\***

☐ SAME AS CURRENT /PERMANENT/OVERSEAS ADDRESS DETAILS

**ADDRESS TYPE\*:**

RESIDENTIAL OR BUSINESS ☐ RESIDENTIAL ☐ BUSINESS ☐ REGISTERED OFFICE ☐ UNSPECIFIED

LINE 1\*:

LINE 2:

LINE 3:  CITY / TOWN NAME\*:

DISTRICT\*:  COUNTRY NAME:

STATE / UT NAME\*:  PIN / POST CODE\*:

**CONTACT DETAILS** (If communication has to be done on Mobile/email the following Mobile No/Email ID will be used)

TEL. (OFF):   TEL. (RES):

MOBILE NO.:   FAX:

EMAIL ID:

**APPLICANT DECLARATION**

- I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/We undertake to inform you of any changes therein, immediately. In case any of the information is found to be false or untrue or misleading or misrepresenting, I/we am/are aware that I/we may be held liable for it.
- My/Our personal KYC details may be shared with Central KYC Registry.
- I/We hereby consent to receiving information from central KYC Registry through SMS/Email on the above registered number/email address

DATE:

PLACE:

SIGNATURE (S)  
NAME OF THE AUTHORIZED PERSON OF ENTITY

**ATTESTATION / FOR OFFICE USE ONLY**

DOCUMENTS RECEIVED: ☐ SELF-CERTIFIED ☐ TRUE COPIES ☐ NOTARY

RISK CATEGORY: ☐ HIGH ☐ MEDIUM ☐ LOW

IN PERSON VERIFICATION CARRIED OUT BY IDENTITY VERIFICATION: ☐ DONE

DATE:

EMP./OFFICIAL SIGNATURE \_\_\_\_\_ EMP./OFF. NAME: \_\_\_\_\_

S.S No. / P.F No.: \_\_\_\_\_ EMP./OFF. DESIGNATION: \_\_\_\_\_ EMP./OFF. BRANCH: \_\_\_\_\_

## DECLARATION OF BENEFICIAL OWNERSHIP

## ANNEXURE – IV

(APPLICABLE TO COMPANY (EXCEPT THE COMPANY LISTED ON A STOCK EXCHANGE OR IN CASE OF A SUBSIDIARY OF SUCH A COMPANY), PARTNERSHIP FIRM, UNINCORPORATED ASSOCIATION OR BODY OF INDIVIDUALS AND TRUSTS).

1. NAME OF THE CUSTOMER : \_\_\_\_\_  
( COMPANY, PARTNERSHIP FIRM, UNINCORPORATED ASSOCIATION OR BODY OF INDIVIDUALS AND TRUSTS)
2. REGISTERED NUMBER : \_\_\_\_\_  
(IF AVAILABLE)
3. REGISTERED ADDRESS: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

THE CUSTOMER AS STATED ABOVE HEREBY CONFIRMS AND DECLARES THAT AS ON DATE:

THE FOLLOWING NATURAL PERSON(S) (LISTED IN TABLE BELOW) EXERCISE CONTROL OR ULTIMATELY HAVE A CONTROLLING OWNERSHIP INTEREST I.E. HAVING OWNERSHIP /ENTITLEMENT OF MORE THAN 25% (COMPANY) / MORE THAN 15% (PARTNERSHIP FIRM, UNINCORPORATED ASSOCIATION OF INDIVIDUALS) / MORE THAN OR EQUAL TO 15% (TRUST) OF CAPITAL/PROFITS/PROPERTY OR CONTROLLING THROUGH VOTING RIGHTS, AGREEMENT, ARRANGEMENT ETC.

(FOR DEFINITION OF BENEFICIAL OWNER , SEE AT PAGE NO. 18 )

SL NO.	FULL NAME OF BENEFICIAL OWNER / CONTROLLING NATURAL PERSON(S)	DATE OF BIRTH	NATIONALITY	ADDRESS	TYPE OF KYC DOCUMENTS	CONTROLLING OWNERSHIP INTEREST (%)

WE CERTIFY THAT THE FACTS STATED ABOVE ARE TRUE AND CORRECT. WE UNDERTAKE AND AGREE THAT WE WILL NOTIFY STATE BANK OF INDIA WITHOUT DELAY OF ANY CHANGES IN THE CONTROLLING PERSONS, PERSON EXERCISING CONTROL OR HAVING CONTROLLING OWNERSHIP INTEREST IN THE COMPANY, PARTNERSHIP FIRM, UNINCORPORATED ASSOCIATION OR BODY OF INDIVIDUALS AND TRUSTS, AS DECLARED IN THE TABLE ABOVE.

FOR AND ON BEHALF OF [ NAME OF COMPANY, PARTNERSHIP FIRM, UNINCORPORATED ASSOCIATION OR BODY OF INDIVIDUALS AND TRUSTS];

FULL NAME OF THE AUTHORISED OFFICIAL: \_\_\_\_\_

DESIGNATION / POSITION : \_\_\_\_\_

SIGNATURE OF THE AUTHORISED OFFICIAL

DATE :

PLACE :

(\*The declaration should be signed by an active / designated partner in case of Partnership Firm, a trustee in case of Trust)

For Branch use Only

We certify that the beneficial owner (s) of the said firm has / have been determined on the basis of declaration made by the above mentioned Company / Firm / Trust and the details furnished above have been verified from information, whenever available, in public domain.

(Signature of the Branch Head / Branch Operation Head)

Name : \_\_\_\_\_

S.S No. / P.F No.: \_\_\_\_\_

Date : \_\_\_\_\_

**Credit Discipline Check List for Opening / Continuing Current and Collection Accounts**  
**(Annexure to Current Account Opening Form)**

Name of the Customer:

Rs.

Bank Name	Total Exposure	Fund Based CC/OD	Fund based (like TL/DL)	Non-Fund based (like LC/BG)	Exposure %
SBI					
Bank1:					
Bank2:					
Total					

**Check list for opening/ continuation of Current and Collection Accounts**

Sl. No.	Particulars	Current Account Status	Annx	Check Box (Tick one)
1	No credit exposure	Can open CA	V-A	
2	Total Credit exposure less than Rs. 5 Crores (even with CC/OD facility with SBI/any Bank)	Can open CA	V-A	
3a.	i) Total Credit exposure Rs. 5 Crores or more ii) SBI exposure 10% or more iii) SBI having CC/OD Account iv) Customer willing to have operative CA with SBI	Can open CA	V-B	
3b.	i) Total Credit exposure Rs. 5 Crore or more ii) SBI exposure 10% or more (or) Exposure less 10% iii) Customer having CC/OD Account with any Bank which may or may not include SBI. iv) Customer NOT willing to have operative CA with SBI	Can open Collection Account	V-B	
3c	i) Total Credit exposure Rs. 5 Crores or more ii) SBI exposure less than 10% and is the highest amongst all the lenders. iii) No other bank has exposure 10% or more	Can open CA	V-B	
3d	i) Total Credit exposure Rs. 5 Crores or more ii) SBI exposure 10% or more without CC/OD with SBI iii) SBI is one of the lenders. iv) Customer having CCOD with another Bank	Can open Collection Account	V-B	
4a	i) Total credit exposure Rs.5 crore or more but less than Rs.50 crore, <b><u>without CC/OD exposure</u></b> from any Bank ii) SBI is one of lending Bank	Can open Current Account	V-B	
4b	i) Total credit exposure Rs.5 crore or more but less than Rs.50 crore, <b><u>without CC/OD exposure</u></b> from any Bank ii) SBI is not one of lending Bank	Can open Collection Account	V-B	
5	i) Total credit exposure Rs. 50 crores or more <b><u>without CC/OD exposure</u></b> from any Bank ii) SBI one of lending Bank	ESCROW arrangement to be followed and/or Collection AC may be opened	V-B	
6	Exempted Accounts as per RBI revised guidelines dated 29.10.2021 in addition to exemption granted vide RBI guidelines dated 14.12.2020	Can open CA for permitted purposes	V-C	

**Undertaking for Opening/Continuing Current Account**  
**(Annexure to Current Account Opening Form)**  
**(Exposure Less than Rs.5.00 Crs)**

Sl. No.	Particulars	Check Box (Tick one)
1	No credit exposure with any Banks including SBI	
2	Total Credit exposure is less than Rs. 5 Crores (even with CCOD facility with SBI/any Bank)	

I/We .....(Name of the Customer)  
have to advise that I/We have no credit exposure with any Banks including SBI or, our total credit exposure with all the Banks (including SBI) is less than Rs.5.00 crores.

I / We undertake to inform SBI in case of any changes to my/ our CC/OD/ Other Credit facilities. I/We also understand that it will be my/our sole responsibility to inform SBI in writing regarding any changes in the above undertaking and/or when the credit facilities availed by me/us from the banking system reaches Rs.5.00 crores or more.

I/We also agree to provide any documents that may be required from me/us time to time in terms of RBI regulations / SBI requirements for continuing my Current Account with SBI. I/We also agree to close the Current Account as and when demanded by SBI and understand that SBI is empowered to close / discontinue the Account if I/We fail to respond in a reasonable time to any notice issued in this regard.

Signature of the Customer(s) / Authorised Representative(s)

(Tick whichever is applicable)

**Declaration for Opening/Continuing Current/Collection Account**  
**(Annexure to Current Account Opening Form)**

I/We .....(Name of the Customer) have to advise as under:

Sl. No.	My / Our Credit Exposure	My / Our request	Tick one
3a.	i) Total Credit exposure Rs.5 Crores or more ii) SBI exposure 10% or more iii) SBI having CC/OD Account iv) Customer willing to have operative CA with SBI	I/We willing to have an Operative Current Account with SBI	
3b.	i) Total Credit exposure Rs. 5 Crore or more ii) SBI exposure 10% or more (or) Exposure 10% or less iii) Customer having CC/OD Account with any Bank, which may or may not include SBI. iv) Customer NOT willing to have operative CA with SBI	I/We am willing to have a *collection account with SBI.	
3c.	i) Total Credit exposure Rs. 5 Crores or more ii) SBI exposure less than 10% with CC/OD facility and is the highest amongst all the lenders. iii) No other bank has exposure 10% or more	I/We willing to have an Operative Current Account with SBI.	
3d.	i) Total Credit exposure Rs. 5 Crores or more ii) SBI exposure 10% or more without CC/OD iii) SBI is one of the lenders. iv) Customer having CCOD with another Bank	I/We am willing to have a *collection account with SBI.	
4a	i) Total credit exposure Rs.5 crores or more but less than Rs.50 crore, <b><u>without CC/OD exposure</u></b> from any Bank ii) SBI is one of lending Bank	I/We am willing to have an Operative Current Account with SBI	
4b	i) Total credit exposure Rs.5 crore or more but less than Rs.50 crore, <b><u>without CC/OD exposure</u></b> from any Bank ii) SBI is not one of lending Bank	I/We am willing to have a *collection account with SBI.	
5	i) Total credit exposure Rs. 50 crores or more <b><u>without CC/OD exposure</u></b> from any Bank ii) SBI one of lending Bank	I/We am willing to have Current account (under the prescribed Escrow mechanism) / *collection account with SBI (strike whichever not applicable). I understand that Current account can be opened/ maintained with the escrow managing bank only.	

**For \* collection accounts only**

Name of Bank / IFSC Code:	
Account Number:	

\* I / We understand that only credits will be permitted and we will not have any transaction rights in the collection account. Further, we understand that SBI can recover fees / charges from the collection account and balance in lying in these collection accounts cannot be used for margin purposes. The balance (above the applicable minimum balance) may please be transferred to the above main operating CC / OD / Escrow account within two working days (T+ 2 basis) on receipt of such funds.

Signature of the Customer(s) / Authorised Representative(s)

(Tick whichever is applicable)

**Declaration for Opening/Continuing Current Account**  
**(Annexure to Current Account Opening Form)**

I/We .....(Name of the Customer) have to advise that I am/We are eligible for opening / continuation of current accounts .....which falls under **exempted category/category to which instructions of RBI as regards opening/maintaining of current accounts, do not apply.**

I/We also agree to provide any documents /proofs that may be required from time to time in terms of RBI regulations / SBI requirements for continuing my Current Account with SBI under the said exempted category. I / We also agree to route only the permitted / specified transactions in the Current Account as intended by relevant regulations. I/We also agree to close the Current Account as and when demanded by SBI and SBI is empowered to close / discontinue the Account if I / We fail to respond in a reasonable time to any notice issued in this regard.

**Signature of the Customer(s) / Authorised Representative(s)**

Sl. No.	My / Our Credit Exposure	Documents	Tick one
1	Accounts for real estate projects mandated under Section 4(2) I (D) of the Real Estate (Regulation and Development) Act, 2016 for the purpose of maintaining 70% of advance payments collected from the home buyers.	As specified in RERA Circular	
2	Nodal or escrow accounts of payment aggregators/prepaid payment instrument issuers for specific activities as permitted by Department of Payments and Settlement Systems(DPSS), Reserve Bank of India under Payment and Settlement Systems Act, 2007.	As specified by RBI	
3	Accounts for settlement of dues related to debit card / ATM card / credit card issuers / acquirers.	As specified by RBI / relevant regulation	
4	Accounts permitted under FEMA, 1999.	As specified by FEMA regulation	
5	Accounts for the purpose of IPO/NFO/FPO/ share buyback /dividend payment/ issuance of commercial papers/ allotment of debentures/gratuity, etc. which are mandated by respective statutes or regulators and are meant for specific /limited transactions only.	As mandated by respective statutes or regulators	
6	Accounts for payment of taxes, duties, statutory dues, etc. opened with banks authorized to collect the same, for borrowers of such banks which are not authorized to collect such taxes, duties, statutory dues, etc.	Letter from Other Bank	
7	Accounts of White Label ATM Operators and their agents for sourcing of currency/Cash-in-Transit/Companies/Cash Replenishment Agencies.	As mandated by respective statutes or regulators	
8	Current accounts which are stipulated under various statutes and instructions of other regulator/regulatory department <b>(Give details of such regulations and attach regulation copy(ies))</b>	As mandated by respective statutes or regulators	
9	To open a current account for project specific facilities like Term Loan/Lease Rental Discounting (LRD) term loan for receiving/monitoring cash flows of a specific project, I / We have not availed any CC/OD facility for that specific project. Give details and attach relevant proofs (FAQ 11 of RBI Circular dated 14.12.2020). I /We undertake to ensure that cash flows will be coming in this account are from that specific project only.	Documents established the claim of customer and Annexure ver 3 (V-A)	
10	To open current accounts for borrowers having credit facilities only from NBFCs/FIs/co-operative banks/ non-bank institutions. Give details and attach relevant proofs (FAQ12 of RBI Circular dated 14.12.2020).	CRIF report & Annexure ver 3 (V-A)	
11	Inter-bank accounts	Ensure customer is a Bank	
12	Accounts of All India Financial Institutions (AIFIs), viz., EXIM Bank, NABARD, NHB, and SIDBI	Ensure customer is AIFI	
13	Accounts opened under specific instructions of Central Government and State Governments	Copy of relevant Govt Instructions	



**LIST OF ENTITIES WITH CORRESPONDING CUSTOMER TIER TYPE**

SR. NO.	TYPE OF ENTITY	PAN 4TH CHARACTER	TIERED_CUST	DESCRIPTION
1	ARTIFICIAL JUDICIAL PERSON	J	212	NON PERSONAL-JUDICIARY
2	ASSOCIATION OF PERSONS	A	207	NON PERSONAL-COOPERATIVE
3		A	20701	NON PERSONAL-SOCIETIES
4		A	20702	NON PERSONAL-SOCIETIES ( NPO )
5		A	210	NON PERSONAL-ASSOCIATIONS
6		A	211	NON PERSONAL-NGO'S
7		A	217	NON PERSONAL-GROUP CUSTOMERS
8		A	21701	NON PERSONAL-OTHERS-GROUP CUSTOMERS
9		A	21702	NON PERSONAL-OTHERS-NOTIONAL CUSTOMERS
10		A	21703	SHG-MIXED GROUP
11		A	21704	SHG- ALL MALE MEMBERS
12		A	21705	SHG- ALL FEMALE MEMBERS
13		A	219	STAFF ASSOCIATION/SOCITIES
14	BANKS /FI	C	21301	NON PERSONAL-BANKS-FOREIGN
15		C	2130201	NON PERSONAL-BANKS-DOMESTIC-COOPERATIVES
16		C	2130202	NON PERSONAL-BANKS-DOMESTIC-PUBLIC SECTOR
17		C	2130203	NON PERSONAL-BANKS-DOMESTIC-PRIVATE SECTOR
18		C	2130204	NON PERSONAL-BANKS-DOMESTIC-LOCAL AREA BANKS
19		C	2130205	NON PERSONAL-BANKS-DOMESTIC-REGIONAL RURAL BANKS
20		C	2130206	NON PERSONAL-BANKS-DOMESTIC-OTHER GOVT.OWN BANKS
21		C	2130207	NON PERSONAL-BANKS-DOMESTIC-OTHER BANKS
22		C	21303	NON SCHEDULED BANK
23		C	214	NON PERSONAL-DOMESTIC NON BANKING FINANCE CO'S
24		C	21501	NON PERSONAL-FINANCIAL INSTITUTION-FOREIGN
25		C	21502	NON PERSONAL-FINANCIAL INSTITUTION-DOMESTIC
26		C	22301	NBFC-ASSET FINANCE CO OTHER
27		C	22302	NBFC-HOUSING FINANCE CO
28		C	22303	NBFC-INFRASTRUCTURE FINANCE CO
29		C	22304	NBFC-GOLD LOAN CO
30		C	22305	NBFC-MFI
31		C	22306	NBFC-NON DEP TAKING SYSEMATICALY IMP
32	BODY OF INDIVIDUALS	B	209	NON PERSONAL-CLUBS
33	COMPANY	C	10218	BROKING CLIENTS"ANAGRAM CAPITAL LTD"
34		C	2040101	NON PERSONAL-LIMITED CO'S-PUBLIC SECTOR-CENTRAL
35		C	2040102	NON PERSONAL-LIMITED CO'S-PUBLIC SECTOR-STATE
36		C	20402	NON PERSONAL-LIMITED CO'S-PUBLIC
37		C	2040201	NON PERSONAL - LIMITED COMPANIES - PUBLIC ( NPO )
38		C	20403	NON PERSONAL-LIMITED CO'S-PRIVATE
39		C	2040301	NON PERSONAL - LIMITED COMPANIES - PRIVATE-( NPO )
40		C	20404	NON PERSONAL-LIMITED CO'S-LISTED
41		C	20501	NON PERSONAL-FOREIGN COMPANIES- MNC'S
42		C	20502	NON PERSONAL-FOREIGN COMPANIES- OTHERS
43		C	215	NON PERSONAL-WHITE LABEL ATM VENDOR
44		C	21706	PRIMARY DEALER
45		C	21707	MULTILATERAL BODIES (BIS, IMF,MDB)
46		C	21708	MUTUAL FUND OR OTHERS ASSET MANAGEMENT CO
47		C	222	NON PER : OFFICE A/C(INTERNAL)
48	FIRM	F	20602	NON PERSONAL-FIRMS-PARTNERSHIP
49		F	20603	NON PERSONAL-FIRMS-OTHER FIRMS
50		F	218	NON-PERSONAL-JLG
51		F	220	NON PERSONAL-APMC/MANDIS TRADER
52		F	221	NON PERSONAL -BUSINESS CORRESPONDENT
53		F	3	DEFAULT MIGRATION
54	GOVERNMENT	G	20101	NON PERSONAL-GOVERNMENT-CENTRAL
55		G	20102	NON PERSONAL-GOVERNMENT-STATE
56		G	2010301	NON PERSONAL-GOVERNMENT-FOREIGN-EMBASSIES
57		G	2010302	NON PERSONAL-GOVERNMENT-FOREIGN-OTHERS
58		G	20201	NON PERSONAL-QUASI GOVERNMENT-LOCAL BODIES
59		G	20202	NON PERSONAL-QUASI GOVERNMENT-OTHERS
60		G	20301	NON PERSONAL-STATUTORY BODIES- UNDER STATE STATUTE
61		G	20302	NON PERSONAL-STATUTORY BODIES- UNDER CNTRL STATUTE
62		G	2130208	Central Scheme of Int Subsidy on Edn Loans for EWS
63	HINDU UNDIVIDED FAMILY	H	216	NON PERSONAL-HINDU UNDEVIDED FAMILIES
64	TRUST	T	208	NON PERSONAL-TRUSTS
65		T	20801	NON PERSONAL - TRUSTS ( NPO )